



CFMR 2027 CALL FOR PAPERS

Conference Dates: The conference will take place in the afternoon on Thursday, May 6 and all day on Friday, May 7, 2027

Location: SEC Headquarters, Washington, DC

Paper Submission Deadline: November 18, 2026

Conference Details: The Securities and Exchange Division of Economic and Risk Analysis (DERA), the Lehigh University Center for Financial Services (CFS), and the University of Virginia Richard A. Mayo Center for Asset Management (Mayo Center) will jointly host the [14th Annual Conference on Financial Market Regulation \(CFMR 2027\)](#). The goal of the conference is to bring together participants to exchange views on issues relevant to the Commission.

Two papers will be selected in each of four topic areas: asset management, corporate finance, financial intermediation, and market microstructure and trading. Possible topics include, but are not limited to:

- *Asset Management:* investment advisers, ETPs and liquidity, investment companies, hedge funds, and other institutional investors, retail access to private markets, accredited investor definition, and custody.
- *Corporate Finance:* corporate disclosures, initial public offerings, seasoned equity offerings, corporate mergers and acquisitions, fintech, corporate governance and voting, corporate and municipal debt offerings, and disclosures related to asset-backed securities.
- *Financial Intermediaries:* broker-dealers, financial institutions, investor behavior, analysts affiliated with broker-dealers or financial institutions, clearing agencies, central counterparties, credit ratings, and Nationally Recognized Statistical Rating Organizations (NRSROs).
- *Market Microstructure and Trading:* the structure, transparency, fairness, efficiency, and liquidity of secondary market trading in the equity, ETP, bond, option, security-based swap, digital assets, prediction markets, and securities lending markets.

The deadline for paper submissions is November 18, 2026, with a submission fee of \$50. Papers can be submitted through ConfTool (www.conftool.org/cfmr2027).

The selection committee will prioritize high-quality paper submissions that align with the SEC's mission. Authors of accepted papers will be notified by early February. While travel expenses are the responsibility of the presenter, the conference fee will be waived.

Conference Organizers: Kathleen Weiss Hanley (Lehigh University), Amy Edwards (SEC), Vlad Ivanov (SEC), and Ian Appel (University of Virginia)